

ON TOTALLY UMBILICAL SUBMANIFOLDS OF S^{n+p}

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ABSTRACT

In this paper, we will give some conditions under which the submanifolds in a unit sphere are totally umbilical.

0. Introduction

Let S^{n+p} be an $(n+p)$ -dimensional unit sphere of constant curvature 1 and M^n an n -dimensional compact submanifold isometrically immersed in S^{n+p} . The following results are well-known.

THEOREM A [4]: *Let M^n be an n -dimensional compact minimal submanifold in S^{n+p} , $p > 1$. If the scalar curvature of M^n is larger than or equal to $\frac{1}{3}n(3n-5)$, then M^n is totally geodesic or a Veronese surface in S^4 .*

THEOREM B [9]: *Let M^n be an n -dimensional compact minimal submanifold in S^{n+p} . If the sectional curvature of M^n is larger than or equal to $(p-1)/(2p-1)$, then M^n is totally geodesic, the standard immersion of the product of the two spheres or a Veronese surface in S^4 .*

THEOREM C [3]: *Let M^n be an n -dimensional compact minimal submanifold in S^{n+p} , $n > 4$. If the Ricci curvature of M^n is larger than $n-2$, then M^n is totally geodesic.*

Let h be the second fundamental form of the immersion and ξ be the mean curvature vector; $\langle \cdot, \cdot \rangle$ denotes the scalar product of S^{n+p} . If there exists a function λ on M^n such that

$$(*) \quad \langle h(X, Y), \xi \rangle = \lambda \langle X, Y \rangle$$

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for any tangent vectors X, Y on M^n , then M^n is called a pseudo-umbilical submanifold of S^{n+p} (cf. [1]). It is clear that $\lambda \geq 0$, and it is known that the mean curvature $H = |\xi|$ of M^n is constant (cf. Lemma 1). If the mean curvature vector $\xi = 0$ identically, then M^n is called a minimal submanifold of S^{n+p} . Every minimal submanifold of S^{n+p} is itself a pseudo-umbilical submanifold.

In this paper, we study pseudo-umbilical submanifolds and generalize Theorems A, B and C. We assume that the mean curvature H of M^n is not zero. Our results are the following:

THEOREM 1: *Let M^n be an n -dimensional compact pseudo-umbilical submanifold in S^{n+p} , $p > 1$. If the scalar curvature of M^n is larger than or equal to $\frac{1}{3}n(3n-5)(1+H^2)$, then M^n is totally umbilical or $n = 2$ and M^2 is a Veronese surface in $S^4(\frac{1}{\sqrt{1+H^2}})$.*

THEOREM 2: *Let M^n be an n -dimensional compact pseudo-umbilical submanifold in S^{n+p} , $p > 1$. If the sectional curvature of M^n is larger than or equal to $\frac{3p-5}{6(p-1)}(1+H^2)$, then M^n is totally umbilical or $n = 2$ and M^2 is a Veronese surface in $S^4(\frac{1}{\sqrt{1+H^2}})$.*

THEOREM 3: *Let M^n be an n -dimensional compact pseudo-umbilical submanifold in S^{n+p} , $p > 1$, $n > 4$. If the Ricci curvature of M^n is larger than $(n-2)(1+H^2)$, then M^n is totally umbilical.*

Remark: It is clear that our results generalize Theorem A, B and C.

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1. Local formulas

Let S^{n+p} be an $(n+p)$ -dimensional unit sphere of constant curvature 1 and M^n an n -dimensional pseudo-umbilical manifold isometrically immersed in S^{n+p} . We choose a local field of orthonormal frames e_1, \dots, e_{n+p} in S^{n+p} such that e_1, \dots, e_n are tangent to M^n . We make use of the following convention on the ranges of indices:

$$A, B, \dots = 1, \dots, n+p; \quad i, j, \dots = 1, \dots, n; \quad \alpha, \beta, \dots = n+1, \dots, n+p.$$

Then the structure equations of S^{n+p} are given by

$$\begin{aligned} d\omega_A &= - \sum_B \omega_{AB} \wedge \omega_B, \omega_{AB} + \omega_{BA} = 0, \\ d\omega_{AB} &= - \sum_C \omega_{AC} \wedge \omega_{CB} + \frac{1}{2} \sum_{CD} K_{ABCD} \omega_C \wedge \omega_D, \\ K_{ABCD} &= \delta_{AC} \delta_{BD} - \delta_{AD} \delta_{BC}. \end{aligned}$$

Restrict these forms to M^n . Then

$$\begin{aligned} \omega_\alpha &= 0, \quad \omega_{i\alpha} = \sum_j h_{ij}^\alpha \omega_j, \quad h_{ij}^\alpha = h_{ji}^\alpha, \\ d\omega_i &= - \sum_j \omega_{ij} \wedge \omega_j, \\ d\omega_{ij} &= - \sum_k \omega_{ik} \wedge \omega_{kj} + \frac{1}{2} \sum_{kl} R_{ijkl} \omega_k \wedge \omega_l, \\ (1.1) \quad R_{ijkl} &= \delta_{ik} \delta_{jl} - \delta_{il} \delta_{jk} + \sum_\alpha (h_{ik}^\alpha h_{jl}^\alpha - h_{il}^\alpha h_{jk}^\alpha), \end{aligned}$$

$$\begin{aligned} d\omega_\alpha &= - \sum_\beta \omega_{\alpha\beta} \wedge \omega_\beta, \\ d\omega_{\alpha\beta} &= - \sum_\gamma \omega_{\alpha\gamma} \wedge \omega_{\gamma\beta} + \frac{1}{2} \sum_{ij} R_{\alpha\beta ij} \omega_i \wedge \omega_j, \\ (1.2) \quad R_{\alpha\beta ij} &= \sum_k (h_{ki}^\alpha h_{kj}^\beta - h_{kj}^\alpha h_{ki}^\beta). \end{aligned}$$

We call $H = |\xi| = \frac{1}{n} \sqrt{\sum_\alpha (\sum_i h_{ii}^\alpha)^2}$ the mean curvature of M^n and $S = \sum_{ij\alpha} (h_{ij}^\alpha)^2$ the square of the length of h ; h_{ijk}^α and h_{ijkl}^α are defined by

$$(1.3) \quad \sum_k h_{ijk}^\alpha \omega_k = dh_{ij}^\alpha + \sum_k h_{ik}^\alpha \omega_{kj} + \sum_k h_{kj}^\alpha \omega_{ki} - \sum_\beta h_{ij}^\beta \omega_{\beta\alpha}$$

and

$$\sum_l h_{ijkl}^\alpha \omega_l = dh_{ijl}^\alpha + \sum_l h_{ijl}^\alpha \omega_{lk} + \sum_l h_{ilk}^\alpha \omega_{lj} + \sum_l h_{ljk}^\alpha \omega_{li} - \sum_\beta h_{ijl}^\beta \omega_{\beta\alpha}$$

respectively;

$$h_{ijkl}^\alpha - h_{i j l k}^\alpha = \sum_m h_{im}^\alpha R_{m j k l} + \sum_m h_{m j}^\alpha R_{m i k l} + \sum_\beta h_{ij}^\beta R_{\beta \alpha k l}$$

where $h_{ijk}^\alpha = h_{ikj}^\alpha$. By (1.1) we have

$$(1.4) \quad R_{ij} = (n - 1)\delta_{ij} + \sum_{\alpha} (h_{ij}^\alpha \sum_k h_{kk}^\alpha) - \sum_{k\alpha} h_{ki}^\alpha h_{kj}^\alpha.$$

Now, let ξ be parallel to e_{n+p} ; then

$$(1.5) \quad \text{tr } H_{n+p} = nH, \quad \text{tr } H_\alpha = 0, \quad \alpha \neq n + p.$$

In order to prove our Theorems we need the following:

LEMMA 1 [5]: *The mean curvature of pseudo-umbilical submanifolds in a space form of constant curvature is constant.*

LEMMA 2 [4]: *Let H_i ($i \geq 2$) be symmetric $(n \times n)$ -matrices, $S_i = \text{tr } H_i^2$ and $S = \sum_i S_i$. Then*

$$\sum_{ij} N(H_i H_j - H_j H_i) + \sum_{ij} (\text{tr } H_i H_j)^2 \leq \frac{3}{2} S^2$$

and equality holds if and only if all $H_i = 0$ or there exist two H_i different from zero. Moreover, if $H_1 \neq 0, H_2 \neq 0, H_i = 0$ ($i \neq 1, 2$), then $S_1 = S_2$ and there exists an orthogonal $(n \times n)$ -matrix T such that

$$T H_1^t T = \begin{pmatrix} f & 0 & 0 \\ 0 & -f & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad T H_2^t T = \begin{pmatrix} 0 & f & 0 \\ f & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \text{where } f = \sqrt{\frac{S_1}{2}}.$$

Using Lemma 1 and a direct calculation we have (cf. [2, 9])

$$(1.6) \quad \begin{aligned} \frac{1}{2} \Delta \sum_{ij\alpha \neq n+p} (h_{ij}^\alpha)^2 &= \sum_{ijk\alpha \neq n+p} (h_{ijk}^\alpha)^2 + \sum_{ij\alpha \neq n+p} h_{ij}^\alpha \Delta h_{ij}^\alpha \\ &= \sum_{ijk\alpha \neq n+p} (h_{ijk}^\alpha)^2 + \sum_{ijk\alpha \neq n+p} h_{ij}^\alpha h_{kkij}^\alpha \\ &\quad + \sum_{ijkl\alpha \neq n+p} h_{ij}^\alpha h_{lk}^\alpha R_{lijk} + \sum_{ijkl\alpha \neq n+p} h_{ij}^\alpha h_{li}^\alpha R_{lkik} \\ &\quad + \sum_{ijk\alpha \neq n+p\beta} h_{ij}^\alpha h_{ki}^\beta R_{\alpha\beta kj}. \end{aligned}$$

2. Proofs of Theorems

From (*) and (1.5) we get $\sum_{\alpha} \text{tr} H_{\alpha} h_{ij}^{\alpha} = n\lambda\delta_{ij}$, $H^2 = \lambda$ and

$$(2.1) \quad h_{ij}^{n+p} = H\delta_{ij}.$$

Using (2.1) and (1.4) we obtain

$$\begin{aligned} (2.2) \quad & \frac{1}{2}\Delta \sum_{ij\alpha \neq n+p} (h_{ij}^{\alpha})^2 \\ &= \sum_{ijk\alpha \neq n+p} (h_{ijk}^{\alpha})^2 + n \sum_{ij\alpha \neq n+p} (h_{ij}^{\alpha})^2 + \sum_{ijmk\beta\alpha \neq n+p} h_{ij}^{\alpha} h_{mi}^{\beta} h_{jm}^{\alpha} h_{kk}^{\beta} \\ &+ 2 \left[\sum_{\beta\alpha \neq n+p} \text{tr}(H_{\alpha} H_{\beta})^2 - \sum_{\beta\alpha \neq n+p} \text{tr}(H_{\alpha}^2 H_{\beta}^2) \right] - \sum_{\beta\alpha \neq n+p} [\text{tr}(H_{\alpha} H_{\beta})]^2 \\ &= \sum_{ijk\alpha \neq n+p} (h_{ijk}^{\alpha})^2 + n \sum_{ij\alpha \neq n+p} (h_{ij}^{\alpha})^2 + \sum_{ijkm\beta\alpha \neq n+p} h_{ij}^{\alpha} h_{mi}^{\alpha} h_{mj}^{\beta} h_{kk}^{\beta} \\ &+ 2 \left[\sum_{\beta,\alpha \neq n+p} \text{tr}(H_{\alpha} H_{\beta})^2 - \sum_{\beta,\alpha \neq n+p} \text{tr}(H_{\alpha}^2 H_{\beta}^2) \right] - \sum_{\beta,\alpha \neq n+p} [\text{tr}(H_{\alpha} H_{\beta})]^2 \\ &+ 2 \left[\sum_{\alpha \neq n+p} \text{tr}(H_{\alpha} H_{n+p})^2 - \sum_{\alpha \neq n+p} \text{tr}(H_{\alpha}^2 H_{n+p}^2) \right] - \sum_{\alpha \neq n+p} [\text{tr}(H_{\alpha} H_{n+p})]^2 \\ &= \sum_{ijk\alpha \neq n+p} (h_{ijk}^{\alpha})^2 + n \sum_{ij\alpha \neq n+p} (h_{ij}^{\alpha})^2 + nH^2 \sum_{\alpha \neq n+p} (h_{ij}^{\alpha})^2 \\ &- \sum_{\beta,\alpha \neq n+p} N(H_{\alpha} H_{\beta} - H_{\beta} H_{\alpha}) - \sum_{\beta,\alpha \neq n+p} [\text{tr}(H_{\alpha} H_{\beta})]^2. \end{aligned}$$

When $p = 2$, by a simple calculation from (2.2) we get

$$\frac{1}{2}\Delta \sum_{ij} (h_{ij}^{n+1})^2 \geq [n(1 + 2H^2) - S] \sum_{ij} (h_{ij}^{n+1})^2.$$

It shows that when $S < n(1 + 2H^2)$, then $\sum_{ij} (h_{ij}^{n+1})^2 = 0$ and M^n is totally umbilical.

When $p \geq 3$, applying Lemma 2 to (2.2) we get

$$\begin{aligned}
 (2.3) \quad & \frac{1}{2} \Delta \sum_{ij\alpha \neq n+p} (h_{ij}^\alpha)^2 \\
 & \geq \sum_{ijk\alpha \neq n+p} (h_{ijk}^\alpha)^2 + n \sum_{ij\alpha \neq n+p} (h_{ij}^\alpha)^2 - \frac{3}{2} \left[\sum_{\alpha \neq n+p} \text{tr } H_\alpha^2 \right]^2 + nH^2 \sum_{ij\alpha \neq n+p} (h_{ij}^\alpha)^2 \\
 & \geq n \sum_{ij\alpha \neq n+p} (h_{ij}^\alpha)^2 - \frac{3}{2} \sum_{\alpha \neq n+p} (h_{ij}^\alpha)^2 (S - nH^2) + nH^2 \sum_{ij\alpha \neq n+p} (h_{ij}^\alpha)^2 \\
 & = \left(n - \frac{3}{2}S + \frac{5}{2}nH^2 \right) \sum_{ij\alpha \neq n+p} (h_{ij}^\alpha)^2.
 \end{aligned}$$

Since M^n is compact, we see from (2.2) that when

$$S \leq \frac{2}{3}n \left(1 + \frac{5}{2}H^2 \right)$$

or the scalar curvature R of M^n satisfies

$$R \geq \frac{1}{3}n(3n - 5)(1 + H^2),$$

then $\sum_{ij\alpha \neq n+p} (h_{ij}^\alpha)^2 = 0$, i.e. M^n is totally umbilical or $S = \frac{2}{3}n(1 + \frac{5}{2}H^2)$. In the latter case, using the same method as in [2] we conclude that $n = 2$ and the equality

$$\sum_{\alpha, \beta \neq n+p} N(H_\alpha H_\beta - H_\beta H_\alpha) + \sum_{\alpha, \beta \neq n+p} (\text{tr } H_\alpha H_\beta)^2 = \frac{3}{2} \left[\sum_{ij\alpha \neq n+p} (h_{ij}^\alpha)^2 \right]^2$$

holds. Thus we may assume

$$(2.4) \quad H_{n+1} = \begin{pmatrix} a & 0 \\ 0 & -a \end{pmatrix}, \quad H_{n+2} = \begin{pmatrix} 0 & a \\ a & 0 \end{pmatrix}, \quad H_{n+p} = \begin{pmatrix} H & 0 \\ 0 & H \end{pmatrix}, \quad H_\alpha = 0,$$

where $a \neq 0, \alpha \neq n + 1, n + 2, n + p$.

Now we put

$$\begin{aligned}
 S_\alpha &= \sum_{ij} (h_{ij}^\alpha)^2, \\
 p\sigma_1 &= \sum_\alpha S_\alpha = S, \\
 p(p - 1)\sigma_2 &= 2 \sum_{\alpha < \beta} S_\alpha S_\beta.
 \end{aligned}$$

It can be seen easily (cf. [2]) that

$$(2.5) \quad p^2(p-1)(\sigma_1^2 - \sigma_2) = \sum_{\alpha < \beta} (S_\alpha - S_\beta)^2.$$

By a direct calculation, using (2.4), we get

$$(2.6) \quad p^2(p-1)\sigma_1^2 = (p-1)(4a^2 + 2H^2)^2,$$

$$(2.7) \quad p^2(p-1)\sigma_2 = p(8a^4 + 16a^2H^2),$$

and

$$(2.8) \quad \sum_{\alpha < \beta} (S_\alpha - S_\beta)^2 = 8(a^2 - H^2)^2.$$

Substituting (2.6) – (2.8) into (2.5) we obtain

$$(2.9) \quad (p-1)(4a^2 + 2H^2)^2 - p(8a^4 + 16a^2H^2) = 8(a^2 - H^2)^2.$$

From (2.9) we conclude

$$(p-3)(2a^4 + H^4) = 0,$$

because $2a^4 + H^4 \neq 0$, which implies $p = 3$. Thus by [2], we know that M^2 is a Veronese surface in $S^4(\frac{1}{\sqrt{1+H^2}})$. Since $\frac{2}{3}n(1 + \frac{5}{2}H^2) < n(1 + 2H^2)$, when $p = 2$ and $S \leq \frac{3}{2}n(1 + \frac{5}{2}H^2)$ or $R \geq \frac{(3n-5)}{n}(1 + H^2)$, then M^n is totally umbilical. This completes the proof of Theorem 1.

Proof of Theorem 2: For any positive real number a ($0 < a < 1$), we have

$$\begin{aligned} (2.10) \quad & \frac{1}{2}\Delta \sum_{ij\alpha \neq n+p} (h_{ij}^\alpha)^2 \\ &= \sum_{ijk\alpha \neq n+p} (h_{ijk}^\alpha)^2 + (1+a) \left(\sum_{ijkl\alpha \neq n+p} h_{ij}^\alpha h_{lk}^\alpha R_{lijjk} + \sum_{ijkl\alpha \neq n+p} h_{ij}^\alpha h_{li}^\alpha R_{lkik} \right) \\ &+ \sum_{ijk\alpha \neq n+p\beta} h_{ij}^\alpha h_{ki}^\beta R_{\alpha\beta kj} - a \left(\sum_{ijkl\alpha \neq n+p} h_{ij}^\alpha h_{lk}^\alpha R_{lijjk} + \sum_{ijkl\alpha \neq n+p} h_{ij}^\alpha h_{li}^\alpha R_{lkik} \right) \\ &= \sum_{ijk\alpha \neq n+p} (h_{ijk}^\alpha)^2 + (1+a) \left(\sum_{ijkl\alpha \neq n+p} h_{ij}^\alpha h_{lk}^\alpha R_{lijjk} + \sum_{ijkl\alpha \neq n+p} h_{ij}^\alpha h_{li}^\alpha R_{lkik} \right) \\ &- na \sum_{ij\alpha \neq n+p} (h_{ij}^\alpha)^2 - nH^2a \sum_{ij\alpha \neq n+p} (h_{ij}^\alpha)^2 \\ &+ \frac{1}{2}(a-1) \sum_{\beta\alpha \neq n+p} N(H_\alpha H_\beta - H_\beta H_\alpha) + a \sum_{\alpha \neq n+p} (\text{tr } H_\alpha H_\beta)^2. \end{aligned}$$

For fixed $\alpha \neq n + p$, we can choose e_1, \dots, e_n such that $h_{ij}^\alpha = h_{ii}^\alpha \delta_{ij}$. Thus, we have (cf. [9])

$$(2.11) \quad \sum_{ijkl\alpha \neq n+p} h_{ij}^\alpha h_{ik}^\alpha R_{lijk} + \sum_{ijkl\alpha \neq n+p} h_{ij}^\alpha h_{li}^\alpha R_{lkik} \geq nK \sum_{ij\alpha \neq n+p} (h_{ij}^\alpha)^2,$$

where K is the infimum of the sectional curvature of M^n .

When $p = 2$, from (2.10) and (2.11) we get

$$\frac{1}{2} \Delta \sum_{ij} (h_{ij}^{n+1})^2 \geq (a+1)nK \sum_{ij} (h_{ij}^{n+1})^2 - na(1+H^2) \sum_{ij} (h_{ij}^{n+1})^2 + a \left[\sum_{ij} (h_{ij}^{n+1})^2 \right]^2.$$

It shows that when

$$K \geq \frac{a}{a+1} (1+H^2),$$

then $\sum_{ij} (h_{ij}^{n+1})^2 = 0$ and M^n is totally umbilical.

When $p \geq 3$, combining Lemma 2, (2.11) with (2.10) we get

$$(2.12) \quad \begin{aligned} \frac{1}{2} \Delta \sum_{ij\alpha \neq n+p} (h_{ij}^\alpha)^2 &\geq [(1+a)nK - na - nH^2a] \sum_{ij\alpha \neq n+p} (h_{ij}^\alpha)^2 \\ &\quad - \frac{3}{4}(1-a) \left(\sum_{\alpha \neq n+p} \text{tr } H_\alpha^2 \right)^2 \\ &\quad + \frac{1}{2}(1+a) \sum_{\alpha, \beta \neq n+p} (\text{tr } H_\alpha H_\beta)^2. \end{aligned}$$

On the other hand, we can choose $e_{n+1}, \dots, e_{n+p-1}$ such that $\text{tr } H_\alpha H_\beta = \text{tr } H_\alpha^2 \delta_{\alpha\beta}$. So we have

$$(2.13) \quad \sum_{\alpha, \beta \neq n+p} (\text{tr } H_\alpha H_\beta)^2 = \sum_{\alpha \neq n+p} (\text{tr } H_\alpha^2)^2.$$

The following inequality is obvious,

$$(2.14) \quad \sum_{\alpha \neq n+p} (\text{tr } H_\alpha^2)^2 \geq \frac{1}{p-1} \left(\sum_{\alpha \neq n+p} \text{tr } H_\alpha^2 \right)^2,$$

and the equality in (2.14) holds if and only if all $\text{tr } H_\alpha^2$ are equal. Substituting (2.13) and (2.14) into (2.12) and taking $a = (3p-5)/(3p-1)$, we get

$$(2.15) \quad \frac{1}{2} \Delta \sum_{ij\alpha \neq n+p} (h_{ij}^\alpha)^2 \geq \left[\frac{6(p-1)n}{3p-1} K - \frac{(3p-5)n}{3p-1} - \frac{(3p-5)n}{3p-1} H^2 \right] \sum_{ij\alpha \neq n+p} (h_{ij}^\alpha)^2.$$

So, when

$$(2.16) \quad K \geq \frac{3p-5}{6(p-1)}(1+H^2),$$

then $\sum_{ij\alpha \neq n+p} (h_{ij}^\alpha)^2 = 0$, i.e. M^n is totally umbilical or

$$K = \frac{3p-5}{6(p-1)}(1+H^2).$$

In the latter case, the equality

$$\sum_{\alpha, \beta \neq n+p} N(H_\alpha H_\beta - H_\beta H_\alpha) + \sum_{\alpha, \beta \neq n+p} (\text{tr } H_\alpha H_\beta)^2 = \frac{3}{2} \left[\sum_{ij\alpha \neq n+p} (h_{ij}^\alpha)^2 \right]^2$$

holds. Thus by Lemma 2 we see that all $H_\alpha = 0$, $\alpha \neq n+p$ and M^n is totally umbilical or there exist only two of $H_\alpha \neq 0$, $\alpha \neq n+p$. However, the equality (2.16) implies equality (2.14), so that all $\text{tr } H_\alpha^2$ are equal. This is a contradiction. This proves Theorem 2. ■

Proof of Theorem 3: We compute directly from (1.6):

$$\begin{aligned} (2.17) \quad & \frac{1}{2} \Delta \sum_{ij\alpha \neq n+p} (h_{ij}^\alpha)^2 \\ &= \sum_{ijk\alpha \neq n+p} (h_{ijk}^\alpha)^2 + n(1+H^2) \sum_{ij\alpha \neq n+p} (h_{ij}^\alpha)^2 \\ & \quad - \sum_{\alpha, \beta \neq n+p} N(H_\alpha H_\beta - H_\beta H_\alpha) - \sum_{\alpha, \beta \neq n+p} (\text{tr } H_\alpha H_\beta)^2 \\ &= \sum_{ijk\alpha \neq n+p} (h_{ijk}^\alpha)^2 + n(1+H^2) \sum_{ij\alpha \neq n+p} (h_{ij}^\alpha)^2 - \sum_{\alpha, \beta \neq n+p} N(H_\alpha H_\beta - H_\beta H_\alpha) \\ & \quad - \sum_{\alpha \neq n+p} (\text{tr } H_\alpha^2)^2. \end{aligned}$$

When $p = 2$, from (2.17) we get

$$\frac{1}{2} \Delta \sum_{ij} (h_{ij}^{n+1})^2 \geq n(1+H^2) \sum_{ij} (h_{ij}^{n+1})^2 - \left(\sum_{ij} (h_{ij}^{n+1}) \right)^2.$$

On the other hand, by the assumption in Theorem 3 we get

$$(n-2)(1+H^2) < R_{ii} = (n-1)(1+H^2) - \sum_{ij} (h_{ij}^{n+1})^2$$

and so

$$\sum_{ij} (h_{ij}^{n+1})^2 < n(1 + H^2).$$

Combining these two inequalities we get

$$\begin{aligned} \frac{1}{2} \Delta \sum_{ij} (h_{ij}^{n+1})^2 &\geq n(1 + H^2) \sum_{ij} (h_{ij}^{n+1})^2 - \left(\sum_{ij} (h_{ij}^{n+1})^2 \right)^2 \\ &\geq n(1 + H^2) \sum_{ij} (h_{ij}^{n+1})^2 - n(1 + H^2) \sum_{ij} (h_{ij}^{n+1})^2 = 0. \end{aligned}$$

From this we see that $\sum_{ij} (h_{ij}^{n+1})^2$ is constant and

$$\left[n(1 + H^2) - \sum_{ij} (h_{ij}^{n+1})^2 \right] \sum_{ij} (h_{ij}^{n+1})^2 = 0,$$

which implies that $\sum_{ij} (h_{ij}^{n+1})^2 = 0$, i.e. M^n is totally umbilical since $\sum_{ij} (h_{ij}^{n+1})^2 < n(1 + H^2)$. When $p \geq 3$, for fixed $\alpha \neq n + p$, we have

$$\sum_{\beta \neq n+p} N(H_\alpha H_\beta - H_\beta H_\alpha) = \sum_{ij\beta \neq \alpha, n+p} (h_{ij}^\beta)^2 (h_{ii}^\alpha - h_{jj}^\alpha)^2.$$

Since

$$(h_{ii}^\alpha - h_{jj}^\alpha)^2 \leq 2[(h_{ii}^\alpha)^2 + (h_{jj}^\alpha)^2],$$

we get

$$(2.18) \quad \sum_{\beta \neq n+p} N(H_\alpha H_\beta - H_\beta H_\alpha) \leq 4 \sum_{ij\beta \neq \alpha, n+p} (h_{ij}^\beta)^2 (h_{ii}^\alpha)^2.$$

On the other hand, from (1.4) we obtain

$$\begin{aligned} (2.19) \quad R_{ii} &= (n - 1) + nH^2 - H^2 - \sum_{j\beta \neq n+p} (h_{ij}^\beta)^2 \\ &= (n - 1)(1 + H^2) - (h_{ii}^\alpha)^2 - \sum_{j\beta \neq \alpha, n+p} (h_{ij}^\beta)^2. \end{aligned}$$

Let Q be the infimum of the Ricci curvature of M^n . Then from (2.19) we get

$$(2.20) \quad \sum_{j\beta \neq \alpha, n+p} (h_{ij}^\beta)^2 \leq (n - 1)(1 + H^2) - (h_{ii}^\alpha)^2 - Q$$

and

$$(2.21) \quad \sum_{ij\beta \neq n+p} (h_{ij}^\beta)^2 \leq n(n - 1)(1 + H^2) - nQ.$$

The following inequality is obvious,

$$(2.22) \quad \sum_i (h_{ii}^\alpha)^4 \geq \frac{1}{n} \left[\sum_i (h_{ii}^\alpha)^2 \right]^2 = \frac{1}{n} (\text{tr } H_\alpha^2)^2.$$

Substituting (2.20) into (2.18) and using (2.22) we obtain

$$\begin{aligned} & \sum_{\beta \neq n+p} N(H_\alpha H_\beta - H_\beta H_\alpha) \\ & \leq 4 \sum_i [(n-1)(1+H^2) - (h_{ii}^\alpha)^2 - Q](h_{ii}^\alpha)^2 \\ & = 4[(n-1)(1+H^2) - Q] \sum_i (h_{ii}^\alpha)^2 - 4 \sum_i (h_{ii}^\alpha)^4 \\ & \leq 4[(n-1)(1+H^2) - Q] \sum_i (h_{ii}^\alpha)^2 - \frac{4}{n} \sum_{\alpha \neq n+p} (\text{tr } H_\alpha^2)^2, \end{aligned}$$

and thus

$$(2.23) \quad \sum_{\alpha, \beta \neq n+p} N(H_\alpha H_\beta - H_\beta H_\alpha) \leq [(n-1)(1+H^2) - Q] \sum_{ij \alpha \neq n+p} (h_{ij}^\alpha)^2 - \frac{4}{n} \sum_{\alpha \neq n+p} (\text{tr } H_\alpha^2)^2.$$

Combining (2.21), (2.23) with (2.17) we obtain

$$\begin{aligned} (2.24) \quad & \frac{1}{2} \Delta \sum_{ij \alpha \neq n+p} (h_{ij}^\alpha)^2 \\ & \geq \sum_{ijk \alpha \neq n+p} (h_{ijk}^\alpha)^2 + [(-3n+4)(1+H^2) + 4Q] \sum_{ij \alpha \neq n+p} (h_{ij}^\alpha)^2 \\ & \quad + \frac{4}{n} \sum_{\alpha \neq n+p} (\text{tr } H_\alpha^2)^2 - \sum_{\alpha \neq n+p} (\text{tr } H_\alpha^2)^2 \\ & \geq \sum_{ijk \alpha \neq n+p} (h_{ijk}^\alpha)^2 + [(-3n+4)(1+H^2) + 4Q] \sum_{ij \alpha \neq n+p} (h_{ij}^\alpha)^2 \\ & \quad - \frac{n-4}{n} \sum_{\alpha \neq n+p} (\text{tr } H_\alpha^2)^2 \\ & \geq \sum_{ijk \alpha \neq n+p} (h_{ijk}^\alpha)^2 + [(-3n+4)(1+H^2) + 4Q] \sum_{ij \alpha \neq n+p} (h_{ij}^\alpha)^2 \\ & \quad - \frac{n-4}{n} [n(n-1)(1+H^2) - nQ] \sum_{ij \alpha \neq n+p} (h_{ij}^\alpha)^2 \\ & = \sum_{ijk \alpha \neq n+p} (h_{ijk}^\alpha)^2 + [n(n-2)(1+H^2) + nQ] \sum_{ij \alpha \neq n+p} (h_{ij}^\alpha)^2. \end{aligned}$$

Thus, we see from (2.24) that when $Q > (n-2)(1+H^2)$, then $\sum_{ij\alpha\neq n+p}(h_{ij}^\alpha)^2 = 0$ and M^n is totally umbilical. This completes the proof of Theorem 3. ■

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